

Design of optimal PID controller using hybrid differential evolution and particle swarm optimization

التصميم الأمثل للتحكم التناسبي التكاملي التفاضلي باستخدام خوارزمية مختلطة تطور الفارق وسرب الجسيمات

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المخلص

يقدم هذا البحث خوارزمية جديدة لتحديد معاملات المتحكم التناسبي التكاملي التفاضلي باستخدام خوارزمية مختلطة تطور الفارق وسرب الجسيمات ويستخدم المتحكم في التحكم في مستوى السائل في في منظومة مكونة من ثلاثة خزانات وهذه تعتبر منظومة لا خطية . وبمقارنة المتحكم المقترح بالخوارزميات الأخرى : سرب الجسيمات وأنواعه و الخوارزمية الجينية و خوارزمية تطور الفارق وطريقة زيجلر نيكولاس وجد ان المتحكم المقترح هو الأكثر كفاءة واستقرار مع حفاظه على التقارب السريع. واستطاع المتحكم المقترح الحصول على افضل النتائج واقل انحراف معيارى مع اختلاف حجم السرب . وتم اختبار الخوارزمية الجديدة على 5 دوال قياسية للتأكد من ادائه . ووجد انه له خصائص افضل مثل سهولته وخاصة التقارب المستقر وقدرته على الحصول على افضل النتائج بأعلى معدل للنجاح في ايجاد الحلول.

Abstract

This paper outlines a new design method for determining the optimal parameters of PID controller using hybrid differential evolution (DE) and Particle Swarm Optimization. The tuned PID controller is used for controlling 3 tanks liquid level system which is a typical nonlinear control system. Compared to different PSO variants, genetic algorithm (GA), differential evolution (DE) and Ziegler-Nichols method; the proposed method was indeed more efficient and robust in improving the step response of 3 tanks liquid level system moreover keeping fast convergence. It can get the best results with least standard deviation for different swarm size. The new hybrid algorithm is also tested on 5 benchmark functions to confirm its performance. It had superior features, including easy implementation, stable convergence characteristic, better solution quality and higher success rate in finding the solution.

Keywords:

Aging; Differential evolution (DE); Particle swarm optimization (PSO); PID controller; Three Tanks Liquid Level System

1. Introduction

PID controller is the most widely controller used in industry because of its simplicity and robustness. PID controller is still favorable to be used with more than 90% of industrial controllers' still implement PID control algorithms [35, 36]. Finding the optimal parameters of PID controller is quite difficult especially in non-linear control system as in the liquid level control system. So, many methods have been proposed for tuning PID

controller. One of them is Ziegler and Nichols method [1]. It is the oldest method and simplest one. However, it is often hard to determine optimal PID parameters with the Ziegler-Nichols (Z-N) formula in many industrial plants. Z-N method fails to provide an acceptable performance because it is always provides a large overshoot and settling time, so that the values of the PID parameters are often subsequently refined in accordance with the operator's experience [37]. Recently,

many evolutionary computation methods such as genetic algorithm (GA), differential evolution (DE) and particle swarm optimization (PSO) have been employed to tune PID controller in various plants [2-7]. However, the simplicity of PSO (i.e. it is straight forward and has less parameters to be tuned) and its low computational cost with high performance make it commonly used in the industrial applications [43].

PSO is an evolutionary computation technique proposed by Kennedy and Eberhart in [8]. The original intent of PSO is to simulate the social interaction behavior of birds flocking. In PSO, each particle p in the flock is initialized with randomly chosen velocity V_p and position X_p in an n -dimensional search space. The PSO concept consists of, at each time step, accelerating each particle towards its own historically best position ($pbest_p$) and the best position found by the entire swarm ($gbest$) [9]. The update equations in the PSO are:

$$\left. \begin{aligned} V_{pd}(t+1) &= \omega V_{pd}(t) + C_1 r_1 (pbest_{pd} - X_{pd}(t)) + C_2 r_2 (gbest_d - X_{pd}(t)) \\ X_{pd}(t+1) &= X_{pd}(t) + V_{pd}(t+1) \end{aligned} \right\} (1)$$

Where r_1, r_2 are random numbers uniformly distributed in $[0,1]$. C_1, C_2 are called acceleration constants, ω is the inertia weight, and d ($d = 1, 2, \dots, n$) represents the d th dimension of the search space.

Although PSO has fast convergence behavior, there is some deficiency in PSO performance. This is due to that all particles learn from best particle in updating velocities and positions. If best particle located at a local optimum the whole swarm may trap and this would lead to premature convergence.

Various attempts have been made to improve the performance of PSO. One of these attempts is hybridizing PSO with other search techniques [10-17] to benefit from advantages of each algorithm. The hybridization between DE [18] and PSO looks a promising optimizer. This hybridization tries to benefit from good

global search capability of DE and high speed convergence of PSO.

DE is a simple evolutionary algorithm for global optimization proposed by Price and Storn. The DE-variants perturb the current generation population members with the scaled differences of randomly selected and distinct population members. Therefore, no separate probability distribution has to be used for generating the offspring [19]. Easy methods of implementation and negligible parameter tuning made the algorithm quite popular.

In the past decade, numerous hybrids of DE and PSO have been made [20-23]. Particle swarm optimization with differentially perturbed velocity (PSO-DV) is considered one of embedded hybrid algorithms [21]. In this algorithm a differential operator (borrowed from differential evolution) has been tightly coupled with the velocity update scheme of PSO. This differential operator can be considered as additional mutation to guarantee more diversity to PSO and hence avoid local optimum problem. Unlike the PSO scheme, a particle is shifted to a new location only if the new location yields a better fitness value. Due to this restriction, some of the particles may get stagnant in the search space. PSO-DV algorithm tries to solve this problem by replacing these stagnated particles with randomized particles.

In PSO-DV algorithm, DE is embedded in PSO. The operating manners of DE and PSO optimizers cannot be separated explicitly. We cannot separate their contribution to fitness improvement since DE and PSO are integrated into a hybrid optimizer. So, PSO-DV usually implies a higher risk of design failure [20].

Recently, a new PSO approach has been introduced based on aging concept [24]-[28]. It applies the age index on a particle (or a group of particles) in the swarm. If the particle cannot contribute effectively in searching space (i.e. it gets old), it should be replaced. Thus, the age of the particle is considered as an important indicator of its

quality. The way of adjusting the life span of a particle and selecting a new one is an important step.

PSO with an aging leader and challengers (ALC-PSO) [28] is an age-based PSO algorithm. ALC-PSO is an attempt to simulate the aging concept in the nature. As the leader of the colony becomes old, it gives the opportunity to another individual to lead the colony. In this algorithm, when the swarm falls in local optima, this means that *gbest* gets old and cannot lead the swarm. ALC-PSO searches about another promising particle (called a challenger) to replace *gbest*. To do that, it travels randomly in one way direction for a predetermined number of attempts to select a challenger. The most important feature of ALC-PSO is keeping fast convergence of PSO. However, it is often hard to get acceptable diversity to search more and more promising area of search space.

In this paper, an effective approach called hybrid Differential Evolution and Particle Swarm Optimization with an Aging Leader and Challengers (ALC-PSODE) is presented. ALC-PSODE modifies PSO-DV by using another mutation borrowed from ALC-PSO (ashelping agent) to solve stagnation of particles problem and improve the performance of PSO-DV. Using one dimensional mutation of ALC-PSO can prevent *gbest* moving to the position of randomly mutated particle. This can protect the swarm from unacceptable divergence. To validate ALC-PSODE algorithm, it first tested on five benchmark functions. Then it is also used for tuning PID controller.

The rest of the paper is organized as follows. Section 2 reviews related work. Section 3 develops the ALC-PSODE algorithm in detail. Section 4 experimentally validates the ALC-PSODE and compares it with five evolutionary algorithms on five benchmark functions. Section 5 describes the linearized model of liquid level system. Section 6 describes the application of ALC-PSODE to tune the PID controller and test it against various

controllers. Finally, section 7 concludes this paper.

2. Related work

To solve the premature convergence problem of PSO, many algorithms that are based on adding a new mutation to PSO have been introduced as PSO-DV [21] and ALC-PSO [28]. In the PSO-DV algorithm [21], for each particle p in the swarm two other distinct particles, say p_1 and p_2 ($p \neq p_1 \neq p_2$), are selected randomly. The difference between their positional coordinates is taken as a difference vector δ :

$$\delta = X_{p_2} - X_{p_1}$$

Then the d -th velocity component ($1 < d <$ number of dimensions) of the target particle p is updated as:

$$\begin{array}{l} \text{If } \text{rand}(0, 1) \leq \text{CR} \\ V_{pd}(t+1) = \omega V_{pd}(t) + \beta \delta_d + C_2 r_2 (gbest_d - X_{pd}(t)) \\ \text{Else } V_{pd}(t+1) = V_{pd}(t) \\ \text{Endif} \end{array}$$

Where CR is the crossover probability, β is a scale factor in [0,1] and δ_d is the d -th δ component. The aim of using vector differential operator is to produce some additional exploration capability. The next step is creating a new trial location Tr_p for the particle:

$$Tr_p = X_p(t) + V_p(t+1)$$

Then the algorithm selects between the trial vector Tr_p and position vector $X_p(t)$. It selects the vector which has better fitness value. Due to this selection strategy, some particles may not be able to find better position (i.e. they get stagnant). The algorithm tackles this stagnation by shifting these particles to a new location using a random mutation. However, this means slow and unstable convergence especially if there are a large number of stagnated particles.

Some PSO-DV variants have been proposed to improve its performance. The simplest one is APSO-DV algorithm (particle swarm optimization with differentially perturbed velocity hybrid algorithm with adaptive acceleration

coefficient) [29]. The main objective of APSODV is to use adaptive acceleration coefficient for updating the positions of the particles of PSODV to accelerate the search for the global solution. In general, tuning the parameters can improve the performance of PSO-DV, but this is not a general case.

Another modified PSO-DV algorithm is evolving ant direction particle swarm optimization with differentially perturbed velocity (EADPSODV) [30]. In this approach, ant colony search is utilized by the EADPSODV algorithm to find a suitable mutation operator for PSODV. Genetic algorithm method is employed to evolve the ant colony parameters.

ALC-PSO [28] is a new technique to tackle the shortcoming of PSO. Its key idea is based on invoking a new mutation notion. When *gbest* fails to lead the swarm, all swarm particles learn from another particle (i.e. a challenger). Hence, the diversity can be restored again after it had been lost. The steps of ALC-PSO algorithm can be summarized as follows:

1. First, initialize randomly the position and velocity of all particles ($p = [1, 2, \dots, M]$) in the swarm, set *gbest* as a *Leader*, initialize the age of the Leader $\theta = 0$, set the lifespan of the Leader to an initial value (e.g. lifespan=30).
2. In the beginning of the run; in each generation, updating the velocity, position, *pbest* and *Leader* as in the PSO algorithm.
3. Adjust life span as will be discussed later and increase the age of the Leader θ by 1.
4. Check if the Leader become aged (i.e. age of the Leader > lifespan), then go to step 5. Else, go to step 7.
5. Generate a challenger which is different from the previous Leader in only one dimension (i.e. to keep some good characteristics of it).
6. Test the *challenger*, if it can improve at least one *pbest*; then replace the *Leader* by the *challenger*. Set the age of the Leader $\theta = 0$; set the lifespan of the

Leader to an initial value. If the *challenger* fails in testing, then the old leader remains leading the swarm.

7. Check if stop condition is satisfied, then terminate the algorithm .Else, go to step 2.

The main component of ALC-PSO that determines its performance is life span. The lifespan is adjusted according to the leader's ability to improve the swarm. To determine leader's ability, ALC-PSO observes 3 indexes during a *Leader's* lifetime (the decision tree for the lifespan controller is shown in Fig. 1)¹:

1- Improvement of *gbest*.

If $f(gbest(\theta)) - f(gbest(\theta - 1)) < 0$; then the current Leader have a good leading power and it is expected to have the ability to improve the swarm in the following generations. So the lifespan of the Leader is elongated (i.e. lifespan is increased by 2).

2- Improvement of collective *pbest_p*.

If $\sum_{p=1}^M f(pbest_p(\theta)) - \sum_{p=1}^M f(pbest_p(\theta - 1)) < 0$; this means that the historically *gbest* is not improved but the Leader is still able to improve at least one *pbest_p* position. This situation implies that the current Leader still has the ability to improve the swarm (but at less degree) in the following generations. So the lifespan of the Leader is increased by 1.

3- Improvement of *Leader*.

If $(Leader(\theta)) - f(Leader(\theta - 1)) < 0$; this means that the current *Leader* fails to lead any of the particles in the swarm toward better positions. However, the *Leader* still has the ability to improve itself. Hence, the ability of the Leader to improve the swarm in the following generations is dubious. Therefore, the lifespan of the Leader remains unchanged.

If there is no improving in the above three indexes, then the current Leader is expected to have no ability to improve the swarm in the following generations. So the lifespan of the Leader is shortened (i.e. lifespan is reduced by 1). Indeed, this decreasing in lifespan means that the leader

is getting old by higher rate (i.e. the moving towards replacing the Leader).

3. Proposed ALC-PSODE algorithm

In the beginning of the run, ALC-PSODE is as PSO-DV in updating the velocity and position. If the swarm traps, a simple mutation is borrowed from ALC-PSO to tackle PSO-DV deficiency. This mutation is done by making the swarm learning from another particle which differs from the old leader in one dimension. This one dimension mutation can introduce the diversity again to PSO-DV and moreover keeping acceptable convergence. The updated velocity equation is changed to:

$$V_{pd}(t + 1) = \omega V_{pd}(t) + \beta \delta_d + C_2 r_2 (Leader_d - X_{pd}(t)) \quad (2)$$

The steps of ALC-PSODE algorithm for minimizing the function $f ()$ is shown in Fig. (2).

4. Test functions and experimental results

Real problems nowadays are more and more complex. Their objective functions are often multimodal with peaks, valleys, channels, and flat hyper planes of different heights. Solving these types of problems, which are classified as global optimization problems, to optimality undoubtedly, becomes a true challenge. Test functions have many characteristics for simulating the complexity of most real applications. For example; multimodal functions are used to test the ability of an algorithm to escape from any local minimum. If the exploration process of an algorithm is poorly designed, then it cannot search the function landscape effectively. This, in turn, leads to an algorithm getting stuck at a local minimum.

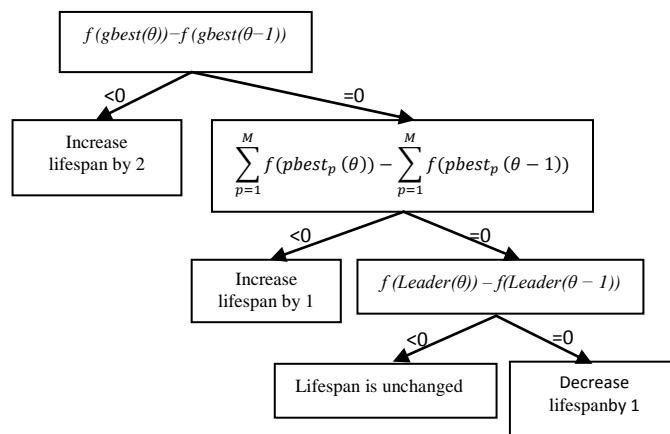


Fig.1. Decision tree for the lifespan controller to adjust the lifespan (The Age of the leader $\theta = 1, 2, \dots, lifespan$)

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Begin
Randomly initialize the position and velocity of all particles in the swarm
Evaluate the objective value for each particle
Set Leader = gbest
Set the age of the Leader  $\theta = 0$ .
Set the lifespan of the Leader = initial value.
Repeat
For each particle p in the swarm
    Select two other particles p1 and p2 randomly
    Construct the difference vector  $\delta$ 
    Perform crossover between updated velocity vector {eq. (2)} and velocity vector of the previous iteration for the particle p with probability CR
    Create trial vector  $Tr_p$ 
    Select the particle for next iteration based on competing between trial vector and old position vector ( i.e. select the vector which has less
    evaluation value )
End for
Update Leader to be the best position in the iteration as in conventional PSO, but the Leader represents the best solution generated by particles
during the Leader's lifetime.
Adjust lifespan, as shown in Fig.1
Increment the age of the Leader
Test lifespan if it has been exhausted or not
If lifespan is exhausted
    Then generate a challenger
    For T iteration
    For each particle
    Update velocity and position using a challenger as a Leader
    Evaluate the objective value for each particle
    Update a challenger
    End for
    If any position is improved // a challenger succeed
    Then replace the Leader by the challenger;
    Set the age of the Leader  $\theta = 0$ .
    Set the lifespan of the Leader = initial value
    Return
    End if
    End for
Else // a challenger failed
    Leader is not changed and old status is resumed
    Set the age of the Leader = lifespan-1.
End if
Until maximum iteration is reached
gbest is the solution
End

```

Fig. 2. Pseudo code of ALC-PSODE algorithm

4.1. Benchmark and experimental settings

ALC-PSODE is tested against five well-known benchmark functions to evaluate the performance of the proposed algorithm. These test functions are shown in Table 1. The first two test functions are unimodal, having only one minimum. The others are multimodal with many local optima.

The proposed algorithm has been tested against the canonical PSO, GA, DE (rand/1/bin), ALC-PSO and PSO-DV, algorithms. The number of dimensions of all the test functions is set to $n = 30$. The population size = 100.

In the experiment, the parameters of PSO, ALC-PSO and ALC-PSODE are set as follows: the acceleration coefficients $c_1 = c_2 = 2.0$, the inertia weight $\omega = 0.4$ [28], the initial value of lifespan = 30, the number of steps T for evaluating the leading power of a challenger is set to $T = 3$ and the legal

velocity range is set to 50% of the search range. In the case of PSO-DV and ALC-PSODE, we choose the crossover constant $CR = 0.9$ and the scale factor β is linearly varying from 0.9 at the beginning of the search to 0.4 at the end of the search. For DE, the crossover constant $CR = 0.9$ and the scale factor $F = 0.8$. In the case of GA, roulette wheel selection operator, single point crossover and adaptive mutation were employed [43,44].

To reduce statistical errors, each test is repeated 50 times independently. During each run, a maximum number of 10000 function evaluations (FEs) are used. The reliability of search is reflected by the "success%" in Table 2, which stands for the percentage of the successful runs that acceptable solutions are found. Each run is considered to be success if and only if the best solution found by an algorithm achieves the predetermined accuracy level in Table 1.

Table 1*

| Test Functions | | | |
|--------------------------|---|-----------------|------------------------|
| Test Function | | Range of search | Optimum \pm accuracy |
| Unimodal Functions (2) | | | |
| Sphere | $f_1(x) = \sum_{i=1}^n x_i^2$ | [-100,100] | 0 \pm 0.1 |
| Rosenbrock's | $f_2(x) = \sum_{i=1}^{n-1} [100(x_{i+1} - x_i^2)^2 + (x_i - 1)^2]$ | [-10,10] | 0 \pm 100 |
| Multimodal Functions (3) | | | |
| Rastrigin | $f_3(x) = \sum_{i=1}^n [x_i^2 - 10 \cos(2\pi x_i) + 10]$ | [-5.12,5.12] | 0 \pm 100 |
| Ackley | $f_4(x) = -20 \exp\left(-0.2 \sqrt{\frac{1}{n} \sum_{i=1}^n x_i^2}\right) - \exp\left(\frac{1}{n} \sum_{i=1}^n \cos 2\pi x_i\right) + 20 + e$ | [-32,32] | 0 \pm 0.1 |
| Griewank | $f_5(x) = \frac{1}{4000} \sum_{i=1}^n x_i^2 - \prod_{i=1}^n \cos\left(\frac{x_i}{\sqrt{i}}\right) + 1$ | [-600,600] | 0 \pm 0.1 |

*For more details about test functions browse: <http://www3.ntu.edu.sg/home/EPNSugan/>

4.2. Comparison results

The following performance measures are used for our comparative study:

- Success rate (number of successful runs/total runs).
- Quality of the final solution.
- Speed of convergence towards the optimal solution.

In Table 2, the best solution, worst solution, mean and standard deviation yielded by different algorithms for 50 independent runs are reported. It can be seen that only ALC-PSODE, ALC-PSO and GA are able to find acceptable solutions with a 100% successful rate. We compare between ALC-PSODE and other two algorithms using two-sample *t*-tests. Two-sample *t*-test is a hypothesis testing method used for assessing whether the means of two independent samples are statistically different from each other [38, 39]. According to the results of *t*-tests (The difference between two samples is significant at level $\alpha=0.05$, sample size = 50 and degrees of freedom = 98), ALC-PSODE significantly outperforms ALC-PSO on f_2, f_5 . Also, ALC-PSODE significantly outperforms GA on the first four functions.

In Fig. 3 we have graphically presented the rate of convergence (for an average run) of all methods for all functions. Although, the performance of all algorithms were comparable to each other regarding to all test benchmark functions, the performance of ALC-PSODE is super exceeded the performance of other algorithms regarding to f_2, f_3 and this is shown in Fig. 3. These results show that the proposed algorithm leads to significant improvement in most cases.

5. A case study: liquid level system control

After validation of ALC-PSODE algorithm on test benchmark functions, it will be used for tuning PID controller. This controller, for simplicity, is called ALCPSODE-PID controller. For testing on real application, ALCPSODE-PID controller is used for controlling 3 tanks liquid level system which is a typical nonlinear complex control system. Liquid level control is very important in many industrial applications as in water purification systems,

industrial chemical processing and boilers in all the industries.

5.1. Linearized model of three tanks liquid level system

A simple structure of the water tank is shown in Fig.4. In this device, there are three tanks: tank A, tank B, and tank C. Tank D is the main tank, which provides the water for the pump. The control actuator is an electric valve. The control signal will change the open range of the electric valve from 0% to 100%. Different open range of electric valve means different water flow rate.

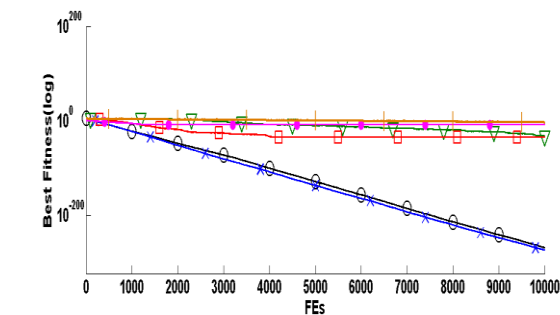
From the simple structure of Fig.4, the main principle of water level system can be explained as following: Driven by pump, the water in tank D is piped to tank A, B and C. Water level will be measured by pressure sensor at bottom of each tank. The difference between actual level and set-point value is calculated. Then the control input can be obtained by PID algorithm. The control input will be feedback to electric valve to change the flow rate, and then the level of water in each tank can be controlled [31].

Table2*
Results obtained for 50 runs

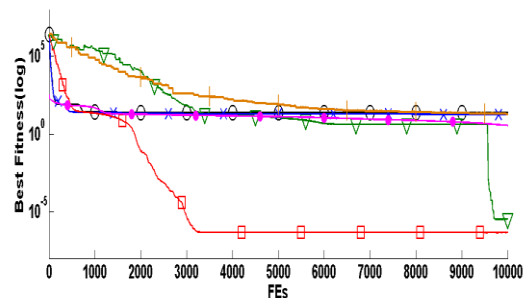
| function | | PSO | GA | DE | PSO-DV | ALC-PSO | ALC-PSODE |
|--|---------------|--------------------|--------------------|-------------|--------------------|--------------------|--------------------|
| f_1 | best | 3.2878e-282 | 1.8600e-009 | 1.0432e-004 | 8.6345e-037 | 4.4586e-285 | 8.8404e-056 |
| | worst | 3.3699e-269 | 1.3500e-008 | 0.0024 | 4.7471e-032 | 2.7508e-273 | 1.5182e-033 |
| | mean | 1.0967e-270 | 5.7207e-009 | 8.1551e-004 | 2.7634e-033 | 1.6095e-274 | 3.5322e-035 |
| | Std deviation | 0 | 3.1072e-009 | 5.1190e-004 | 8.3100e-033 | 0 | 2.1480e-034 |
| | Success % | 100 | 100 | 100 | 100 | 100 | 100 |
| | t test | | -13.0186 | | | 1.1628 | |
| f_2 | best | 0.1255 | 4.6300e-005 | 16.9436 | 2.3484e-022 | 1.6790e-004 | 2.4479e-021 |
| | worst | 96.9987 | 6.4701 | 22.0152 | 8.3210e-004 | 77.7372 | 1.0906e-005 |
| | mean | 33.4918 | 1.3229 | 19.6060 | 1.6706e-005 | 17.3852 | 3.6305e-007 |
| | Std deviation | 30.3198 | 2.2989 | 1.1931 | 1.1767e-004 | 15.9752 | 1.6084e-006 |
| | Success % | 92 | 100 | 100 | 100 | 100 | 100 |
| | t test | | -4.0690 | | | -7.6952 | |
| f_3 | best | 16.9143 | 2.9849 | 57.0098 | 0 | 0 | 1.7764e-015 |
| | worst | 97.5765 | 10.9446 | 99.5367 | 99.4949 | 6.8350e-008 | 1.2736e-012 |
| | mean | 64.7968 | 6.4009 | 82.4987 | 50.7627 | 1.3677e-009 | 4.7855e-014 |
| | Std deviation | 20.2555 | 2.3324 | 12.3051 | 25.8691 | 9.6661e-009 | 1.7978e-013 |
| | Success % | 90 | 100 | 34 | 98 | 100 | 100 |
| | t test | | -19.4054 | | | -1.0005 | |
| f_4 | best | 4.4409e-015 | 2.9400e-005 | 5.2288e-010 | 1.1546e-014 | 4.4409e-015 | 7.9936e-015 |
| | worst | 7.9936e-015 | 6.7400e-005 | 3.4389e-008 | 2.2204e-014 | 7.9936e-015 | 1.5099e-014 |
| | mean | 7.1794e-015 | 5.3303e-005 | 7.5172e-009 | 1.7838e-014 | 7.6383e-015 | 9.5568e-015 |
| | Std deviation | 1.5090e-015 | 9.3242e-006 | 1.1973e-008 | 3.6819e-015 | 1.0766e-015 | 2.9733e-015 |
| | Success% | 96 | 100 | 14 | 96 | 100 | 100 |
| | t test | | -40.4227 | | | 4.2 | |
| f_5 | best | 0 | 4.4400e-011 | 3.2851e-004 | 0 | 0 | 0 |
| | worst | 0.0638 | 4.5900e-010 | 0.0213 | 0.0638 | 0.0515 | 0.0344 |
| | average | 0.0147 | 2.3658e-010 | 0.0037 | 0.0123 | 0.0100 | 0.0031 |
| | Std deviation | 0.0159 | 9.5324e-011 | 0.0044 | 0.0135 | 0.0110 | 0.0060 |
| | Success % | 100 | 100 | 100 | 100 | 100 | 100 |
| | t test | | 3.6534 | | | -3.8939 | |
| ALC-PSODE obtains significantly better results | | | 4 | | | 2 | |
| ALC-PSODE obtains significantly worse results | | | 1 | | | 1 | |

* Best solution, worst solution, mean and standard deviation are calculated for only success runs.

* Bold numbers indicate the featured results (i.e. success rate=%100, significantly better results for t test of ALC-PSODE and the minimum (best) results in best, worst, mean and standard deviation).



(a)



(b)

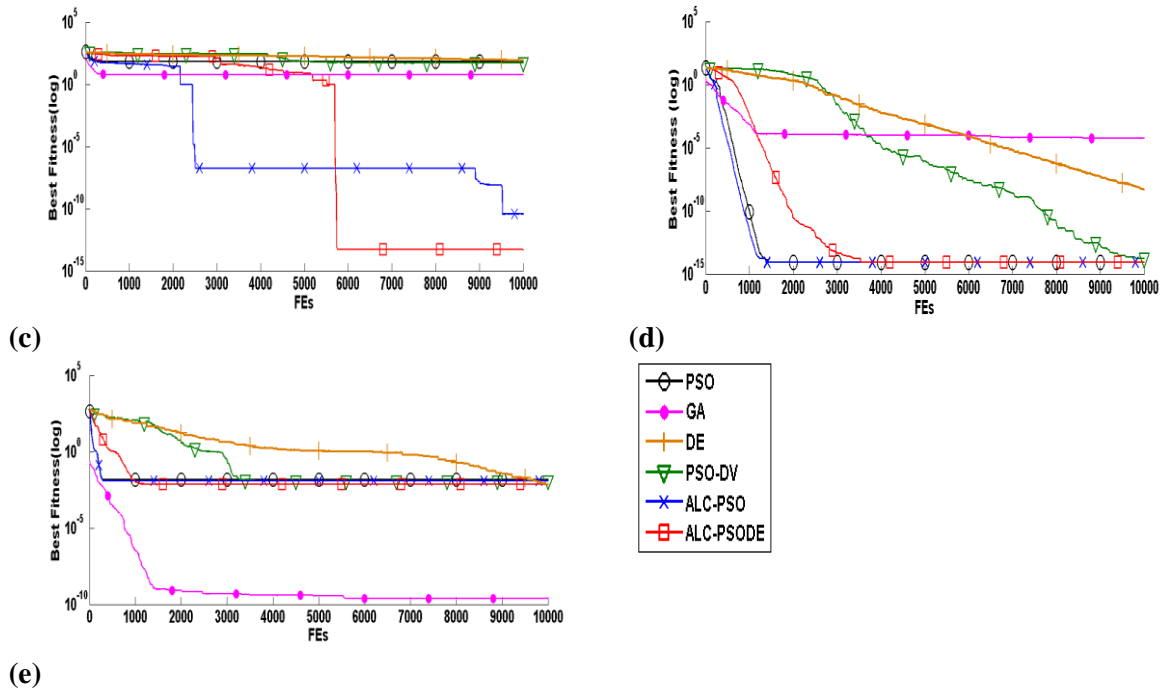


Fig. 3. Convergence graphs on test functions (a) f_1 . (b) f_2 . (c) f_3 . (d) f_4 . (e) f_5

To analyze the mechanism of Water Level System, the following symbols should be considered first:

Q_1 —inflow of water tank;

Q_2 —outflow of water tank;

A — area of tank’s section;

VO — valve’s opening range;

LH — water level height;

K_1 —valve flux proportional coefficient;

K_2 —flux proportional coefficient

Here only the dynamic characteristic of Tank C is analyzed. Consider that A, K_1, K_2 are time invariant parameters, VO_0, LH_0 are the input and output value of an equilibrium point, then from Fig.5 we have:

$$Q_2 - Q_1 = A(dLH/dt) \quad (3)$$

$$Q_1 = K_1 \times VO \quad (4)$$

$$Q_2 = K_2 \times \sqrt{LH} \quad (5)$$

From eq.(3),eq.(4) and eq.(5), it can be seen that the water level is a system with nonlinearity. Around the equilibrium point (VO_0, LH_0) , eq.(5) can be rewritten approximately as:

$$\frac{Q_2}{LH} \approx \frac{K_2}{\sqrt{LH_0}} = K_3 \quad (6)$$

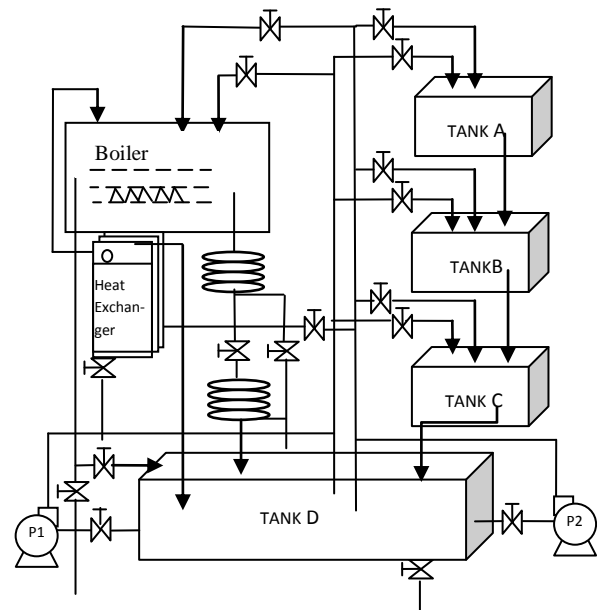


Fig.4. Simple structure of the water level system

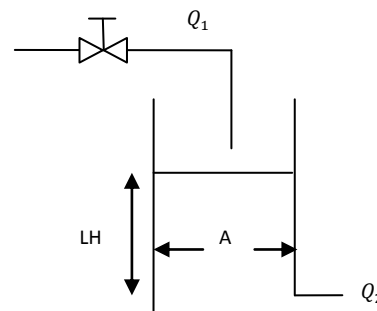


Fig.5. Water level system with control valve

Calculate the Laplace Transformation of eq. (3), eq.(4) and eq.(6) .From the Laplace equations, linear model (around the equilibrium point (VO_0 , LH_0)) with input VO , output LH can be given as below:

$$G(s) = \frac{LH(s)}{VO(s)} = Ke^{-\tau s} / (Ts + 1)$$

where $K = K_1 / K_3$, $T = A / K_3$, τ is the inherent time delay.

Consider that $A= 20$, $K_1 = 2$, $K_2 = 5$, $\tau = 0$; the system will come out to be third order dynamics model. Desired transfer function of three tank water level system is [32]:

$$\frac{H(s)}{Q(s)} = \frac{1}{64s^3 + 9.6s^2 + 0.48s + 0.008}$$

5.2. Liquid level system with PID controller

ALC-PSODE algorithm is used to find for the optimal PID parameters [K_p , K_i , K_d] that will minimize the objective function ISE (integral of squared-error). The ISE performance criterion formula is as follows:

$$ISE = \int_0^{\infty} (r(t) - h(t))^2 = \int_0^{\infty} e^2 (t) dt$$

Three Tanks Liquid Level System compensated with a PID controller block diagram is shown in Fig. 6.

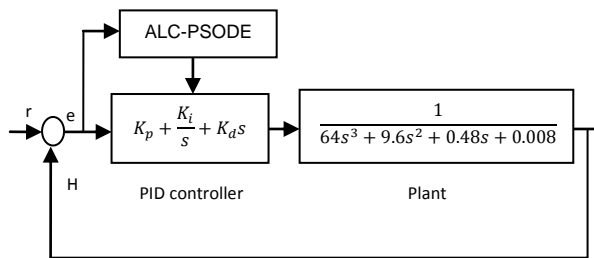


Fig.6. Block diagram of Intelligent PID controller

6. Results

6.1. Performance of ALC-PSODE controller

The following PSO parameters are used for verifying the performance of the various PSO-PID controllers:

- Inertia weight $\omega = 0.4$ [28];
- Acceleration constant $c1$ and $c2 = 2$;
- Crossover Constant $CR = 0.8$;

Scale Factor $\beta = 0.8$ [28]

For GA, roulette wheel selection operator, single point crossover and adaptive mutation were employed. In the case of DE, the crossover constant $CR = 0.9$ and the scale factor $F = 0.8$ [28].

We performed 30 independent runs for various controllers with different random numbers, different swarm size and different number of generations to observe the variation in their evaluation values. Each run is considered to be success if and only if the best solution (i.e. of the objective function ISE) found by an algorithm is less than 30^2 . In addition, the best, worst, and average evaluation values were obtained. Results were shown in Table 3. As can be seen, PSO variants can get success rate equal 100% in all cases. GA and DE fail in some runs to get successful evaluation values. This result is due to the internal structure of GA and DE that degrades their performance [33, 34, 41]. In GA; with continue of generations; crossover usually has less effect, and the resulting movements are relatively smaller. This cause a premature convergence and loss of diversity [34]. Also, DE is easy to drop into local optima because of its fast convergence. To solve premature convergence problem of DE, it is desired to increase the population size for increasing the diversity but this will increase the computation time. So, hybrid DE is always used to overcome its drawback [41].

From the table, all algorithms can get the best solution except PSO. We can observe that ALC-PSO can get better result than PSO but it cannot solve the premature convergence problem of PSO completely. This was exactly done when testing benchmark function. The evaluation values of the ALCPSODE-PID controller generated fluctuation in a very small range (std. dev. = $8.2827e-015$), thus verifying that the ALCPSODE-PID controller has better convergence characteristic and hence it is the most robust algorithm. The simulation results that showed the best solution (i.e. the comparison has been done using transient-response specifications [40] of the system in

addition to ISE) and step response of the three tanks liquid level system for best results were summarized in Table 4 and Fig.7 respectively.

6.2. Convergence characteristic

As can be seen from Table 3, only PSO variants can get %100 success rate. So, we will study the convergence characteristics of PSO variants for least population size. For fair comparisons, the same seed of random numbers in initialization of the population has been used. Convergence characteristic of the various PSO-PID controllers is shown in Fig.8. We can see that although conventional PSO has fast convergence characteristics as expected, it cannot get the best result. Fig. 8 shows that PSO-DV, ALC-PSO and ALC-PSODE can get the best result but ALC-PSODE has the best convergence among

them. Through about 15 iterations (15 generations), the ALCPSO-DE method can achieve fast convergence and obtain good evaluation value.

To study convergence behavior of ALC-PSODE clearly, we observe the variation in its best evaluation values against various successful algorithms for 30 runs. The results are shown in Fig. 9. The results show that ALC-PSODE generated fluctuation in a small range, thus verifying that ALC-PSODE has better convergence characteristic. These discussed results show that the ALCPSODE-PID controller can search optimal PID controller parameters efficiently and quickly.

Table 3
Results obtained for 30 run

| Different PID controller | Swarm size | of generatio | best | worst | average | Standard deviation | Success % |
|--------------------------|------------|--------------|---------------|---------------|---------------|--------------------|-----------|
| PSO | 20 | 50 | 8.7016 | 28.020 | 9.3455 | 3.5271 | 100 |
| GA | | | 7.7925 | 28.0392 | 8.9846 | 3.9128 | 90 |
| DE | | | 7.7922 | 10.5203 | 10.1440 | 0.9574 | 96.67 |
| PSO-DV | | | 7.7922 | 7.7922 | 7.7922 | 1.49e-07 | 100 |
| ALC-PSO | | | 7.7922 | 8.7016 | 8.1605 | 0.4497 | 100 |
| ALC-PSODE | | | 7.7922 | 7.7922 | 7.7922 | 1.8e-010 | 100 |
| PSO | 30 | 50 | 8.7016 | 8.7016 | 8.7016 | 8.47e-014 | 100 |
| GA | | | 7.7922 | 10.8067 | 8.0341 | 0.6064 | 100 |
| DE | | | 7.7922 | 10.5203 | 9.5200 | 1.3372 | 100 |
| PSO-DV | | | 7.7922 | 7.7922 | 7.7922 | 3.40e-09 | 100 |
| ALC-PSO | | | 7.7922 | 8.7016 | 7.8638 | 0.2301 | 100 |
| ALC-PSODE | | | 7.7922 | 7.7922 | 7.7922 | 2.01e-011 | 100 |
| PSO | 20 | 100 | 8.7016 | 24.423 | 9.2256 | 2.8704 | 100 |
| GA | | | 7.7922 | 8.3474 | 7.8358 | 0.1230 | 96.67 |
| DE | | | 7.7922 | 10.5203 | 9.9747 | 1.1099 | 100 |
| PSO-DV | | | 7.7922 | 7.7922 | 7.7922 | 2.85e-07 | 100 |
| ALC-PSO | | | 7.7922 | 8.7016 | 7.9553 | 0.3020 | 100 |
| ALC-PSODE | | | 7.7922 | 7.7922 | 7.7922 | 5.62e-015 | 100 |
| PSO | 30 | 100 | 8.7016 | 8.7016 | 8.7016 | 4.26e-014 | 100 |
| GA | | | 7.7922 | 7.8157 | 7.7932 | 0.0043 | 100 |
| DE | | | 7.7922 | 10.5203 | 9.8838 | 1.1736 | 100 |
| PSO-DV | | | 7.7922 | 7.7922 | 7.7922 | 2.07e-08 | 100 |
| ALC-PSO | | | 7.7922 | 8.6602 | 7.8788 | 0.2629 | 100 |
| ALC-PSODE | | | 7.922 | 7.922 | 7.922 | 8.28e-015 | 100 |

Table 4
Best solution using various controllers

| | Ziegler and Nichols | PSO | GA, ,DE, PSO-DV, ALC-PSODE |
|---------------|---------------------|----------------|----------------------------|
| K_p | 0.03843444 | 0.0528 | 0.0419 |
| K_i | .0010588 | 0.0003 | 0.0009 |
| K_d | 0.17396084 | 1 | 1 |
| ISE | 32.7064 | 8.7016 | 7.7922 |
| Overshoot | 58.5408 | 15.4004 | 12.4671 |
| Rise Time | 18.1142 | 12.0572 | 12.7622 |
| Settling Time | 476.6251 | 267.5225 | 64.2164 |
| Peak | 1.6262 | 1.1457 | 1.1247 |
| Peak Time | 48.5000 | 25 | 26.6000 |

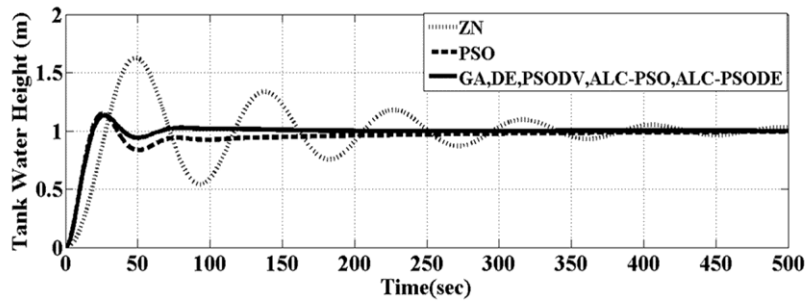


Fig.7 Step response of 3 tanks liquid level system with the various PID controllers for best result.

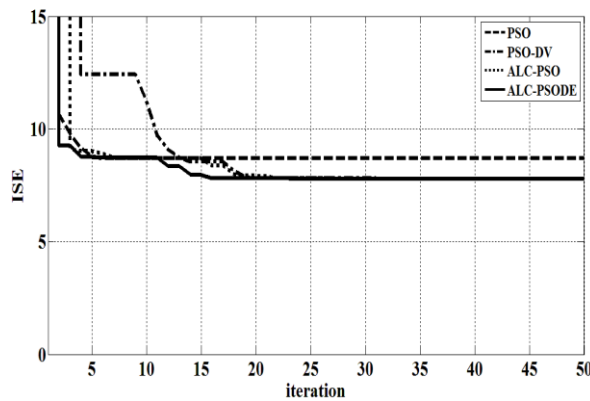
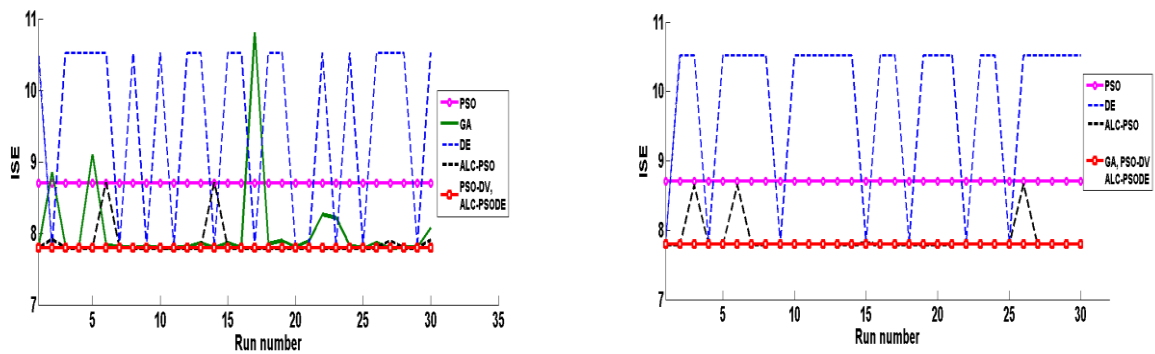


Fig. 8 Convergence tendency of best particle for various PSO-PID controllers (population size=20, number of generatons=50)



(a) population size=30, number of generatons=50

(b) population size=30, number of generatons=100

Fig.9 Comparison of best values of various PID controllers for 30 successful runs

7. Conclusion and future works

In this paper a new PSO variant called ALC-PSODE has been presented and has been shown to improve performance in a statistically meaningful way. The new method has been compared against different PSO variants, GA, DE using five well known benchmarks functions. Then the new algorithm has been used in tuning PID controller to control three tanks liquid level system which is typical nonlinear problem. The ALC-PSODE- PID controller is compared against various PID controllers; the results show that the proposed controller can perform an efficient search for the optimal PID controller parameters. For future research, it would be interesting to test the new algorithm on the multiobjective optimization problems where more diversity is required.

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